

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

THIS PAGE BLANK (USPTO)

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification⁶ : B01J 23/00, 23/58, 23/02, C07C 5/02, 5/05, 45/00, 27/20, 27/26	A1	(11) International Publication Number: WO 98/28074 (43) International Publication Date: 2 July 1998 (02.07.98)
(21) International Application Number: PCT/US97/23540 (22) International Filing Date: 19 December 1997 (19.12.97) (30) Priority Data: 60/034,338 23 December 1996 (23.12.96) US (71) Applicant: SETON HALL UNIVERSITY [US/US]; 400 South Orange Avenue, South Orange, NJ 07079 (US). (72) Inventors: TANIELYAN, Setrak, K.; 69 Fourth Street, South Orange, NJ 07079 (US). AUGUSTINE, Robert, L.; 3 Splitrock Road, Livingston, NJ 07079 (US). (74) Agents: DiGIGLIO, Frank, S. et al.; Scully, Scott, Murphy & Presser, 400 Garden City Plaza, Garden City, NY 11530 (US).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i>
(54) Title: NOVEL SUPPORTED CATALYSTS (57) Abstract A supported catalyst comprising a support, an anchoring agent such as a heteropoly acid or anion, and a metal complex which is useful in a wide variety of organic reactions, especially the hydrogenation of substituted α , β unsaturated acids and esters, is provided. Various methods of preparing the supported catalyst of the present invention is also disclosed.		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Larvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon	KR	Republic of Korea	PL	Poland		
CN	China	KZ	Kazakstan	PT	Portugal		
CU	Cuba	LC	Saint Lucia	RO	Romania		
CZ	Czech Republic	LI	Liechtenstein	RU	Russian Federation		
DE	Germany	LK	Sri Lanka	SD	Sudan		
DK	Denmark	LR	Liberia	SE	Sweden		
EE	Estonia			SG	Singapore		

1

NOVEL SUPPORTED CATALYSTS

5

10 The present invention relates to a highly
stable supported catalyst which exhibits high
reactivity and selectivity in a wide variety of
organic reactions. More specifically, the present
invention relates to a supported catalyst which
15 comprises a support, an anchoring agent and a metal
complex, wherein the anchoring agent is a heteropoly
acid, its lacunar or other crystalline or non-
crystalline phases or their anions. Such a supported
catalyst is particularly useful for, but not limited
20 to, the chiral hydrogenation of substituted α,β
unsaturated acids or esters and α - or β -ketoesters or
lactones. Various methods of preparing the supported
catalyst of the present invention are also provided
herein.

25

Catalytic processes using either homogeneous
catalysts, i.e. those present in the same phase as the
reactant, or heterogeneous catalysts, i.e. those
present as a separate phase in the reaction medium,
30 have played an important role in organic synthesis.

Heterogeneous catalysts are insoluble; thus they can be readily separated from the reaction mixture and, generally, offer the potential for ready re-use. Despite these advantages, prior art heterogeneous catalysts are rather limited in the number and types of organic reactions in which they can be used. In addition, they are usually less selective than homogeneous catalysts which are typically soluble metal salts or metal complexes. Indeed, homogeneous catalysts are not only more selective than heterogeneous catalysts, but have been used to promote a wider variety of organic reactions. Nevertheless, difficulties can be encountered in separating the soluble, homogeneous catalyst, both the metal and the accompanying ligands, from the product. This not only presents problems with the purity of the product, but also makes the re-use of the homogeneous catalyst problematic. The potential loss of the ligand is particularly serious in enantioselective reactions where chiral ligands are usually quite expensive.

Over the past twenty-five years, attempts have been made to "heterogenize" the more versatile homogeneous catalysts, the primary aim being to maintain reaction activity and selectivity of the homogeneous species while at the same time significantly increasing the ease of separation from the reaction medium. One such approach to achieve "heterogenization" involves reacting a metal complex or salt with a solid support such as a polymer or a metal oxide which had been previously modified by the addition of phosphine or amine ligands to the surface of the support. Catalysis Reviews, 16, 17-37 (1974)

-3-

and Chemical Reviews, 81, 109 (1981) are reviews of the earlier literature concerned with polymer supported complexes. Tetrahedron: Asymmetry, 6, 1109-1116 (1995), Tetrahedron Letters, 37, 3375-3378 (1996) and Chemische Berichte, 129, 815-821 (1996) are examples of recent references in this area. From a practical approach, these catalysts are not widely used since their activities are frequently lower than those of the corresponding homogeneous analogs. In addition, problems associated with polymer swelling and attendant mass transport difficulties can be encountered, as well as the finding that activity is frequently lost on attempted re-use. Some success has been reported in preparing polymer supported chiral complexes, but the selectivity observed with the use of such "heterogenized" species has generally been lower than that obtained using the homogeneous catalyst itself.

"Catalysis by Supported Complexes", Studies in Surface Science and Catalysis, Volume 8, Elsevier Publishing Company, Amsterdam, 1981 is an extensive review of the earlier work concerned with the anchoring of metal complexes onto surface modified surfaces. Journal of Catalysis, 157, 436-449 (1995) and Bulletin Societe de Chemie, France, 133, 351-357 (1996) are some more recent references. While these materials do not manifest significant swelling problems associated with the use of polymer supports, there are frequent reports of loss of activity on attempted re-use.

30

35

In rare instances, the oxide support does not have to be modified before the application of a metal complex. Journal of Molecular Catalysis, 88, 13-22 (1994) describes the interaction of Rh(OH)(CO)(PPh₃)₂ with an alumina surface to give a supported catalyst for the hydrogenation of alkenes and benzene. This report also states that the presence of the Rh-OH entity is necessary for interaction with the surface of the alumina and that other complexes could not be attached to the oxide surface.

Another problem associated with prior art catalysts made from metal complexes which are attached to either a modified polymer or metal oxide surface is that their preparation techniques are rather specific and are driven by the nature of the ligand to be attached. Hence, modification of the catalyst to introduce another, more selective ligand is usually an arduous and complex task, if it is one that can be accomplished at all. This circumstance has particular importance where the preparation of enantioselective catalysts are concerned since optimal enantiomeric excess is usually obtained using a specific ligand or class of ligands for a given reaction or substrate.

Journal of Catalysis, 152, 25-30 (1995) describes the preparation of chiral, supported aqueous-phase catalysts and their use in the preparation of naproxen. These heterogeneous catalysts have the same enantioselectivity as the homogeneous counterpart, but are 2 to 2.5 times less active.

Heteropoly acids have long been used as solid acid catalysts and have been supported on various solid supports for use in this way. For instance, Chemistry Letters, 663 (1981) and Applied Catalysis 74, 191-204 (1991) describe the use of heteropoly acids supported on carbon as solid acid catalysts, while Journal of Catalysis, 84, 402-409 (1983), Journal of Catalysis, 125, 45-53 (1990) and Microporous Materials, 5, 255-262 (1995) describe the use of silica as a support for heteropoly acids. Journal of Molecular Catalysis, 74, 23-33 (1992) describes the pillaring of anionic clays by heteropoly acids.

It has been known for some time that interaction of a heteropoly acid with a metal salt can provide catalysts that are useful for a number of different oxidation and related reactions in which the redox properties of the heteropoly acid play an important role. For instance, U.S. Patent No. 4,448,892 and Journal of Catalysis, 154, 175-186 (1995) describe the use of such catalysts, where the same are prepared using a palladium salt, for the oxidation of alkenes to aldehydes or ketones. Similar catalysts have also been used for the carbonylation of alkenes as described in Jpn. Kokai Tokkyo Koho JP 62, 161,737 [Chemical Abstracts, 108, 131037 (1988)]. The carbonylation of nitro aromatics is described in Chemistry Letters, 795-796 (1990) and Jpn. Kokai Tokkyo Koho JP 03, 93,765 [Chemical Abstracts, 115, 182846 (1991)] while alkane and alkene oxidations are described in Chemical Communications, 1324-1325 (1989).

U.S. Patent Nos. 5,116,796 and 5,250,739 as well as Inorganic Chemistry, 34, 1413-1429 (1995) describe the formation of soluble iridium-heteropoly acid complexes which have been used to promote alkene hydrogenations and oxidations.

U.S. Patent No. 4,590,298 describes the use of soluble rhodium cyclopentadiene complexes in combination with heteropoly acids for the reaction of hydrogen and carbon monoxide with formaldehyde to give C₄-C₅ hydroxy ketones.

Chemistry Letters, 1595 (1985) describes the interaction of RhCl(PPh₃)₃ with Li₄SiMo₁₂O₄₀ to give a soluble catalyst for the semihydrogenation of methyl phenyl acetylene.

U.S. Patent No. 4,673,753 and Inorganic Chemistry, 29, 1667-1673 (1990) describe the combination of rhodium carbonyl phosphine complexes with heteropoly acids. The substances prepared are insoluble in toluene so the catalytic reactions are run in this solvent to maintain a heterogeneous catalyst system. These species are used to catalyze the oxidation of CO by NO, the isomerization of 1-hexene and the hydroformylation of 1-hexene. There is no report on the re-use of these catalysts.

Despite the current state of the art, there is a continuing need to develop stable heterogeneous catalysts which employ an active metal complex on an insoluble support, which catalysts are highly reactive and selective in organic reactions. Indeed, a particular need exists for the development of such

catalysts which contain a chiral metal entity capable of promoting an enantioselective reaction. The term
1 "chiral metal entity" is used herein to denote metal complexes which contain at least one chiral ligand.

5 One aspect of the present invention relates to a supported catalyst which comprises the following catalyst components: (i) a particulate support; e.g. an inorganic oxide or carbon; (ii) an "anchoring
10 agent"; and (iii) a metal complex. By "anchoring agent" is meant a heteropoly acid, its lacunar or other crystalline or non-crystalline phase material or their anions. By "metal complex" is meant any
15 catalytically active material which contains at least one transition metal atom or ion from Group IIIB, IVB, VB, VIB, VIIB, VIII, IB or IIB of the Periodic Table of Elements to which one or more ligands are attached. The ligands can be species containing, for instance, phosphorous, nitrogen, oxygen, sulfur, halogen or
20 atoms having an electron pair, as well as carbonyls, alkenes and dienes or other moieties which can coordinate with the transition metal atom or ion.

Another aspect of the present invention relates to a method of preparing the aforementioned supported catalyst. In accordance with this aspect of
25 the present invention, the supported catalyst is prepared by the following steps:

(i) contacting a support with a heteropoly acid or anion under conditions effective to form a
30

- 8 -

heteropoly acid or anion-containing support;

(ii) contacting a metal complex with said
1 heteropoly acid or anion-containing support under
conditions effective to form a supported catalyst;

(iii) activating the catalyst be either
5 first use in the reactor or by a reduction step such
as a prehydrogenation; and

(iv) optionally, recovering said supported
catalyst from.

10 In accordance with a second method of the
present invention, the supported catalyst is obtained
by the following steps:

(i) contacting a heteropoly acid or anion
with a metal complex under conditions effective to
15 form a solution or suspension containing said
heteropoly acid or anion and said metal complex;

(ii) contacting a support with said solution
or suspension prepared in step (i) under conditions
effective to form a supported catalyst;
20

(iii) activating the catalyst be either
first use in a reactor or by a reduction step such as
a prehydrogenation; and

(iv) optionally, recovering said supported
25 catalyst from.

Another aspect of the present invention
relates to a method of forming a supported catalyst
which comprises the steps of:

30 (i) contacting a support with a heteropoly

acid or anion under conditions effective to form a
modified support comprising the heteropoly acid or
1 anion;

(ii) contacting a catalytic precursor
material with said support produced in step (i) under
conditions effective to form a supported catalyst
5 precursor;

(iii) contacting the supported catalyst
precursor with a ligand under conditions effective to
prepare a catalytically active supported catalyst;

10 (iv) activating the catalyst be either first
use in a reactor or by a reduction step such as
prehydrogenation; and

(v) optionally, recovering said supported
catalyst from.
15

In another aspect of the present invention,
the supported catalyst can be used to promote a wide
variety of organic reactions which include, but are
not limited to: hydrogenations, dehydrogenations,
20 isomerizations, carbonylations, hydrogenolyses,
hydroformylations, oxidations, carboxylations,
aminations, silylations, carboalkoxylations,
cyclopropanations, alkylations, allylations,
arylations and other carbon-carbon bond forming
25 reactions. These reactions can be run in either the
vapor phase or in solution. Further, they can be run
in either a batch mode or in a continuous process.

Of particular importance is the use of the
chiral supported catalyst of the present invention for
30 the enantioselective hydrogenation of prochiral

compounds such as substituted α,β unsaturated acids or esters and α - or β -ketoesters or lactones.

1 A related process involves the use of the
supported catalyst of the present invention to promote
the hydroformylation of alkenes into aldehydes and/or
alcohols in the presence of CO and H₂ under conditions
5 which are sufficient to convert said alkene into the
corresponding aldehyde and/or alcohol.

Fig. 1 is a plot of the hydrogen uptake
10 curves for the hydrogenation of 2-acetamidocinnamic
acid methyl ester using a Rh(Me-DUPHOS) (COD) catalyst
supported on a phosphotungstic acid modified carbon
support prepared in accordance with the present
invention.

15

As stated hereinabove, the present invention
allows a homogeneous catalyst to be supported with
high activity, selectivity and stability in a wide
20 variety of organic reactions. Specifically, the
catalyst of the present invention comprises the
following three components: an insoluble support, an
anchoring agent (a heteropoly acid, its lacunar or
other crystalline or non-crystalline phases or their
25 anions), and a metal complex. The supported catalyst
of the present invention is stable in air while
retaining or even surpassing the activity and
selectivity of the corresponding homogeneous catalyst;
but, being insoluble, it is easily removed from the
30 reaction mixture and is thus capable of extended re-

-11-

use. Moreover, the supported catalyst of the present invention quite unexpectedly exhibits an increase in reactivity and selectivity after re-use. Thus, the supported catalyst of the present invention is highly useful in a wide variety of applications including, but not limited to, pharmaceutical and agrochemical applications.

The support is a particulate amorphous or crystalline material having a sufficient surface area to facilitate uniform distribution of the anchoring agent thereon. A particle size is selected to afford easy separability from the reaction media, and may typically range from 100-200 mesh.

The supported catalyst of the present invention can be made using any of the following methods. In the first method, a support is contacted with a heteropoly acid or anion under conditions which are effective to form a support which contains the heteropoly acid or anion.

Suitable supports include, but are not limited to: metal oxides such as alumina silica, titania, zirconia, lanthana, zeolites and clays, as well as carbon, resins, polymers and the like. The support may be used as is, or it may be treated prior to use to remove unwanted species which may adversely effect the activity of the catalyst. For example, the support may be calcined either in air or in an inert atmosphere prior to use.

The interaction between the anchoring agent and the support may be effected by reaction as

discussed below; but it is to be understood that the anchoring agent may be bonded to or intercalated by the support solely by physical and/or chemical attractive forces based upon van der Waals forces, donor/ acceptor interactions and other surface phenomena.

Another method of treating the support involves the use of a modifier which has been found to increase the adhesion of the heteropoly acid or anion to the support. Suitable modifiers that may be employed in the present invention for this purpose include, but are not limited to: metal alkoxides such as titanium alkoxide, aluminum alkoxide, silane alkoxide, vanadium alkoxide and the like; polyisocyanates, hydroxy epoxides, cyano epoxides and other functionalized organic materials. Of the aforementioned modifiers, metal alkoxides are particularly preferred.

When a modifier is employed in the present invention, the modifier is contacted with the support in a solvent at a temperature of from about -25° to about 250°C for a period of time of from about 1 min. to about 50 hrs. The amount of modifier employed in the present invention varies depending upon the type of support being employed. Typically, however, the modifier is present in about 0.01% to about 100% by weight of the support employed in the present invention.

As stated above, the support, either treated or nontreated, is then contacted with a heteropoly acid or anion. The heteropoly acids employed in the

present invention are conventional heteropoly acids well known to those skilled in the art. The term "heteropoly acid" is used herein to denote any polyprotic mixed oxide which is generally composed of a central ion or ions bonded to an appropriate number of oxygen atoms and surrounded by a near spherical shell of octahedral oxometal species joined together by shared oxygen atoms. The central atom or "heteroatom" is typically a cation having a $+3$ to $+5$ oxidation state such as P^{+5} , As^{+5} , Si^{+4} or Mn^{+4} . The metal species associated with the octahedra are usually Mo, W or V. The octahedra in the shell of the heteropoly acid can be of uniform composition or contain different metal species.

As discussed in "Zeolites, Clays and Heteropoly Acids in Organic Synthesis", Chapter 3, VCH Publishers, New York, 1992, suitable heteropoly acids include, but are not limited to: Keggin species such as phosphotungstic acid (PTA), phosphomolybdic acid (PMA), silicotungstic acid (STA) and the like; Dawson species; Waugh species; Anderson species; Silverton species; their lacunar and other crystalline or non-crystalline forms; and anions of the preceding. When anions are used, the counterions can be, but are not limited to: alkali, alkali earth or quaternary ammonium ions.

Contact of the support and the heteropoly acid or anion generally occurs in a solvent at a temperature of from about -25° to about 250°C for a time period of from about 1 min. to about 50 hrs. Preferably, this occurs at temperatures of between

about 25° and about 75°C for periods of between 3 and 12 hours. Typically, in the present invention the
1 heteropoly acid or its anion is present in a weight ratio with the support of from about 0.01:1 to about 20:1. This contact step may occur in air or it may be carried out in an inert atmosphere.

5 In accordance with the next step of the present invention, the heteropoly acid or anion-containing support is contacted with a metal complex under conditions which are effective to form a
10 supported catalyst. By "metal complex" is meant any catalytically active material which contains at least one transition metal atom or ion from Group IIIB, IVB, VB, VIB, VIIB, VIII, IB or IIB of the Periodic Table of Elements to which one or more ligands are attached. Suitable transition metal atoms or ions include: Sc,
15 Y, Ti, Zr, Hf, V, Cr, Mo, W, Mn, Re, Fe, Ru, Os, Co, Rh, Ir, Ni, Pd, Pt, Cu, Ag, Au, Zn and the like. Preferably, the metal complex will contain a metal atom or ion from Group VIII of the Periodic Table of Elements; e.g., Fe, Ru, Os, Co, Rh, Ir, Ni, Pd and Pt.

20 The ligands can be species containing, for instance, phosphorus, nitrogen, oxygen, sulfur, halogen or atoms having a free electron pair, as well as carbonyls, alkenes and dienes or other moieties
25 which can coordinate with the metal atom or ion. Suitable achiral ligands which may be employed in the present invention include, but are not limited to: species such as cyclopentadiene, carbon monoxide, cyclooctadiene (COD) and tertiary phosphines.
30 Suitable chiral ligands which may be employed in the

present invention include, but are not limited to:
species such as (R,R) or (S,S) 2,2'-
1 bis(diphenylphosphino)1,1'-binaphthyl (BINAP),
(2S,3S)-bis(diphenylphosphino)butane (CHIRAPHOS), 2,3-
O-isopropylidene-2,3-dihydroxy-1,4-
bis(diphenylphosphino)butane (DIOP), (R,R)-1,2-bis[(2-
5 methoxyphenyl)phenylphosphino]ethane (DIPAMP), 1,2-
bis(2R,5R)-2,5-(dimethylphospholano)benzene (Me-
DUPHOS) and (R) 1,2-bis(diphenylphosphino)propane
(PROPHOS). Mixtures of these ligands such as
(COD) (Me-DUPHOS) are also contemplated herein. The
10 use of chiral ligands is particularly preferred in the
present invention for promoting enantioselective
reactions.

Examples of metal complexes contemplated by
the present invention include, but are not limited to:
15 Rh(COD) (DIPAMP) BF₄, Pd(R,R-BINAP) Cl₂, Rh(COD) (Me-
DUPHOS) Cl and the like. In addition to metal
complexes, other catalytically active compounds
containing a Group VIII metal are also contemplated
herein. Examples of such catalytically active
20 compounds include, but are not limited to: the
elemental Group VIII metals, Group VIII metal salts,
and the like.

The contacting step between the heteropoly
acid or anion-containing support and the metal complex
25 typically occurs in a solvent and at a temperature of
from about -25° to about 250°C for a time period of
from about 1 min. to about 50 hrs. Preferably this
contacting takes place at temperatures of between
about 25° and about 50°C for a time period of between
30

-16-

about 1 hr and about 3 hrs. Generally in the present invention, the metal complex is employed at a
1 concentration such that the metal complex to
heteropoly acid or anion molar ratio is from about
0.1:1 to about 6:1; more preferably, from about 0.5:1
to about 1.5:1; and most preferably from about 0.75:1
5 to about 1:1.

The solvents employed in various steps of the present invention may be the same or different, and are those which are capable of dissolving the
anchoring agent and/or the metal complexes. A
10 preferred solvent is methanol, but other alcohols such as ethanol, propanol, hexanol, heptanol and the like, as well as water, ethers, esters, ketones and aliphatic or aromatic hydrocarbons, may also be employed in the present invention. The solvent may be
15 employed as is, or it may be purified by techniques well known in the art prior to its use. For example, the solvent can be distilled and then passed over a bed or column containing an appropriate adsorbent material.

20 The solid supported catalyst of the present invention may then be activated either by first use in a reactor or by a reduction step such as a prehydrogenation.

25 The solid supported catalyst of the present invention may then be optionally recovered using techniques well known to those skilled in the art. For example, the solid catalyst may be recovered by decantation, filtration or centrifugation. The
30 recovered solid catalyst may be used as is, or it may

-17-

be washed with one of the aforementioned solvents prior to use to remove any anchoring agent or metal complex that is not bound to the support. The supported catalyst can then be dried.

In accordance with the second method of preparing the supported catalyst of the present invention, the anchoring agent mentioned hereinabove is contacted first with a metal complex to form a solution or suspension and then that solution or suspension is contacted with a support.

The contact between the anchoring agent and the metal complex typically occurs in a solvent at a temperature of from about -25° to about 250°C for a period of time of from about 1 min. to about 50 hrs. Preferably, this contact takes place at temperatures of between about 25° to about 60°C for periods of time from about 15 min to about 1 hr. Generally the metal complex is employed at a concentration such that the metal complex to anchoring agent molar ratio is from about 0.1:1 to about 6:1; more preferably, from about 0.5:1 to about 1.5:1; and most preferably from about 0.75:1 to about 1:1.

The resulting solution or suspension containing the anchoring agent and the metal complex may be used as is, or, in another embodiment of the present invention, the resulting solution or suspension is dried and then slurried in a comparable solvent prior to contacting with the metal complex. The solvents employed in this embodiment of the present invention are the same as those previously mentioned hereinabove.

The solution or suspension containing the anchoring agent and metal complex is then contacted with one of the supports mentioned above. This contact between the solution or suspension and the support generally occurs in a solvent at a temperature of from about -25° to about 250°C for a period of time from about 1 min. to about 50 hrs. Preferably, this contacting takes place at a temperature of about 25°C to about 60°C for a period of time from about 3 hrs to about 12 hrs. In accordance with this aspect of the present invention, the anchoring agent and metal complex solution or suspension is present in about 0.01% to about 150% by weight of the support employed in this contacting step. The thus formed supported catalyst is, optionally, recovered as previously described.

The activation by prehydrogenation can be accomplished by stirring the supported catalyst under hydrogen typically at temperatures between ambient and 50°C and at pressures between 1 and 10 atm. for an appropriate amount of time to achieve activation.

In accordance with another aspect of the present invention, a supported catalyst is prepared by first contacting a support with an anchoring agent as described hereinabove. The support containing the anchoring agent is then contacted with a catalyst precursor material under conditions which are effective for forming a supported catalyst precursor.

By "catalyst precursor material" is meant any metal salt or complex which is used to prepare a catalytically active entity. Examples of suitable

catalyst precursors include, but are not limited to, rhodium cyclooctadiene dimer, ruthenium cyclooctadiene dimer, allyl palladium dimer, rhodium chloride and the like.

The contacting of the anchoring agent-containing support and the catalyst precursor typically occurs in a solvent at a temperature of from about -25° to about 250°C for a time period of from about 1 min. to about 50 hrs. Preferably this contacting takes place at temperatures of between about 25° and about 50°C for periods of between about 1 hr to about 3 hrs. Generally in the present invention, the catalyst precursor material is employed at a concentration such that the precursor to anchoring agent molar ratio is from about 0.1:1 to about 6:1; more preferably, from about 0.5:1 to about 1.5:1; and most preferably from about 0.75:1 to about 1:1. The catalyst supported precursor, optionally, may be washed and dried prior to treatment with a ligand.

The thus formed catalyst supported precursor is then contacted with a ligand which forms a catalytically active entity. It is noted that the catalyst supported precursor itself may or may not be catalytically active. It is, however, converted to a catalytically active entity by contacting it with a suitable ligand. The ligands employed for this purpose include those ligands mentioned hereinabove.

The concentration of the ligand which is added to the catalyst supported precursor is typically from about 1 to about 6 mmol per mmol of catalyst

-20-

precursor material. The treatment of the catalyst supported precursor and the ligand typically occurs in
1 a solvent at temperatures of from about -25° to about 250°C for periods of from about 1 min to about 50 hrs.

It should be mentioned that all of the above contacting steps may be conducted in air or they may
5 be done in hydrogen or in an inert gas atmosphere, as appropriate. The activation by prehydrogenation is carried out using the conditions mentioned hereinabove.

10 The above description illustrates the methods which can be used in forming the supported catalyst of the present invention. It is emphasized that all three catalyst components of the present invention, i.e. the support, the anchoring agent, and
15 the metal complex are needed for optimum catalytic activity, stability and selectivity. Catalysts not containing all three catalyst components of the present invention exhibit inferior results. For example, while catalysts prepared without the presence
20 of the anchoring agent may sometimes show activity, the stability and activity in all cases is significantly lower than that of the supported catalyst of the present invention. In an appropriate solvent the heteropoly acid-metal complex product
25 without the support may appear to be insoluble. While this material may be used as a heterogeneous catalyst a portion of the catalytically active species does dissolve resulting in a loss of catalyst.

The supported catalyst of the present
30 invention imparts improved catalytic properties such

as catalytic activity, stability and selectivity as compared to the corresponding homogeneous catalyst or
1 as to catalytic species prepared only from a heteropoly acid and a metal complex. Moreover, the supported catalyst of the present invention advantageously and unexpectedly exhibits an increase
5 in catalytic activity and selectivity when the catalyst is re-used. Without wishing to be bound by any theory it is thought that the observed increase in activity and selectivity when compared to the soluble species is the result of changes in the steric
10 environment of the active metal in the supported moiety. Increases in stability may be brought about by the presence of the anchoring agent.

The catalyst itself comprises a relatively uniform distribution of active catalytic sites formed
15 about the supporting particles, but remote therefrom to the extent of the selected anchoring agent bridge. This shell of active sites may typically be present at a distance, for example, 10-14 Å from the support particle itself, thereby affording excellent
20 accessibility to reactants.

A further advantage of the supported catalyst of the present invention is that it is insoluble; and leaching of the metal, which is common
25 with prior art supported homogeneous catalysts, is not observed.

In view of the above advantages, the supported catalyst of the present invention can be used to promote a wide variety of organic reactions
30 which include, but are not limited to: hydrogenations,

-22-

dehydrogenations, isomerizations, carbonylations,
hydrogenolyses, hydroformylations, oxidations,
1 carboxylations, aminations, silylations,
carboalkoxylations, cyclopropanations, alkylations,
allylations, arylations and other carbon-carbon bond
forming reactions. These reactions can be run in
5 either the vapor phase or in solution. Further, they
can be run in either a batch mode or in a continuous
process using conditions well known to those skilled
in the art.

In a highly preferred embodiment of the
10 present invention, the supported catalyst of the
present invention is employed in hydrogenating
substituted α,β unsaturated acids or esters or other
prochiral substrates. In accordance with this aspect
of the present invention, a substituted α,β
15 unsaturated acid or ester having the formula



wherein R^1 , R^2 and R^3 are the same or
different and are hydrogen, alkyl containing from 1 to
about 35 carbon atoms, alkenyl containing from 1 to
25 about 35 carbon atoms, alkynyl containing from 1 to
about 35 carbon atoms, aryl containing from about 6 to
about 18 carbon atoms, amine, amide, or alkoxide
containing from about 1 to about 35 carbon atoms, and
 R is hydrogen or alkyl having from about 1 to about 35
30 carbon atoms, is contacted with a supported catalyst

of the present invention in the presence of H_2 under conditions which are effective to selectively
1 hydrogenate the substituted α,β unsaturated acid or ester into a desired product. It is noted that the above substituents may be straight or branched as well as being unsubstituted or substituted with one of the
5 substituents mentioned hereinabove. The aryl substituents may also be bicyclic or fused species.

Of particular interest is the enantioselective hydrogenation of those compounds in which R^3 is not hydrogen or R^1 is different from R^2 and
10 neither is hydrogen. Hydrogenation of these prochiral substrates over a chiral supported catalyst of the present invention leads to the selective formation of one of the enantiomers of the product.

Preferred substituted α,β unsaturated acids
15 or esters contemplated by the present invention include, but are not limited to: 2-acetamidocinnamic acid methyl ester, 2-acetamidocinnamic acid, 2-acetamidoacrylic acid methyl ester, 2-acetamidoacrylic acid, dimethylitaconate, itaconic acid, 2-
20 methylpentenoic acid, 2-methylhexenoic acid, and 2-(6-methoxy-2-naphthyl)acrylic acid.

In another preferred embodiment of the present invention, the supported catalyst of the
25 present invention is employed in hydrogenating carbonyl groups, particularly prochiral ketones, α -ketoesters, α -ketolactones or β -ketoesters.

The hydrogenation conditions employed in the present invention are those that are typically
30 employed in the prior art for carrying out such a

reaction.

In yet another preferred embodiment of the
1 present invention, a process for hydroformylating
alkenes into their corresponding aldehydes and/or
alcohols is provided. In accordance with this aspect
of the present invention, an alkene containing from 2
5 to about 35 carbon atoms is contacted with a supported
catalyst of the present invention in the presence of
H₂ and CO and under conditions effective to convert
the alkene to the desired product. The
hydroformylation reaction may be carried out in the
10 gas phase or in the liquid phase using conditions well
known to those skilled in the art.

The following examples are given to
illustrate the scope of the present invention.
Because these examples are given for illustrative
15 purposes only, the invention embodied herein should
not be limited thereto.

20

25

30

35

EXAMPLE I

This example illustrates a procedure for the
1 preparation of a supported catalyst prepared in
accordance with the method of the present invention
described above.

Four grams of the support material was
5 suspended in 30 mL of methanol and stirred for 15
minutes after which time a solution of 200 micromoles
of the heteropoly acid in 25 mL of methanol was added
over a short time. The resulting mixture was stirred
at room temperature for 60 minutes. A solution of 200
10 micromoles of the metal complex catalyst in 10 mL of
methanol was then added slowly under vigorous
stirring. After the addition was completed, stirring
at room temperature was continued for about eight to
about twelve hours after which time the suspension was
15 transferred to a centrifuge tube and the solid
separated by centrifugation. After decantation of the
supernatant liquid, the solid was washed several times
with methanol until no color was observed in the wash
liquid. The residue was dried under vacuum and stored
20 in a screw capped vial until use.

25

30

35

EXAMPLE II

1 This example illustrates a second procedure
for the preparation of a supported catalyst prepared
in accordance with the method of the present invention
described above.

5 To a catalytic reactor was added 10 mL of
methanol and 100 mg of the support material. After
stirring for 15 minutes in air, a solution of 20
micromoles of the heteropoly acid in 2.5 mL of
methanol was added and the suspension stirred for 30
10 minutes at room temperature. To this mixture was
slowly added 1 mL of methanol containing 20 micromoles
of the metal complex catalyst and the suspension
stirred at room temperature for several hours in air
and the stirring stopped. After the catalyst had
settled, the liquid was withdrawn with a syringe and a
15 15 mL portion of methanol was added to the reactor.
The mixture was stirred again for about 30-45 minutes,
and the liquid again withdrawn. This washing
procedure was repeated at least three times or until
no color was observed in the wash liquid. After this,
20 the air in the reactor was replaced with hydrogen, a
solution of the reaction substrate was introduced into
the reactor and the reaction initiated.

25

30

35

EXAMPLE III

This example illustrates a third procedure
1 for the preparation of a supported catalyst in
accordance with the method of the present invention.

To a catalytic reactor was added 10 mL of
methanol and 300 mg of the support material. The
5 reactor was filled with an inert gas and sealed with
all further additions made through a septum adapter.
After stirring for 15 minutes, a solution of 20
micromoles of the heteropoly acid in 2.5 mL of
methanol was added and the suspension stirred for 30
10 minutes at room temperature. To this mixture was
slowly added 1 mL of methanol containing 20 micromoles
of the metal complex catalyst and the suspension
stirred for several hours at room temperature and the
stirring stopped. After the catalyst had settled, the
15 liquid was withdrawn with a syringe and a 15 mL
portion of methanol was added to the reactor. The
mixture was stirred again for about 30 to 45 minutes,
and the liquid again withdrawn. This washing
procedure was repeated at least three times or until
20 no color was observed in the wash liquid. After this,
the inert gas in the reactor was replaced with
hydrogen, a solution of the reaction substrate was
introduced into the reactor and the hydrogenation
initiated.

25

30

35

EXAMPLE IV

1 This example illustrates a fourth procedure
for the preparation of a supported catalyst of the
present invention.

5 To a suspension of 1 gram of Norit carbon in
10 mL of methanol was added a solution of 200
micromoles of the heteropoly acid in 25 mL of methanol
at a rate of 1 mL/min. After this addition was
complete, a solution of 400 micromoles of a base such
as NaOH in 15 mL of methanol was added at the same
10 rate and the resulting suspension was stirred at room
temperature for an additional 12 hours. The
suspension was centrifuged and the supernatant
decanted. The modified support was washed with 20 mL
portions of methanol until all of the soluble material
15 had been removed. The solid was then dried under
vacuum and stored in a glass vial until use. To a 180
mg portion of the modified support suspended in 3 mL
of methyl acetate was added, with stirring, a solution
of 25 micromoles of Pd(R,R-BINAP)Cl₂ in 3 mL of
20 methylene chloride. The mixture was stirred at room
temperature for about 12 hours, washed five times with
5 mL portions of methyl acetate and then used for an
appropriate reaction. The supported catalyst can also
be dried and stored for future use.

25

30

35

EXAMPLE V

1 This example describes the general procedure
used for the hydrogenation of the various substrates
over the catalysts prepared as described in the
previous examples.

5 To a 25 mL reaction flask was added an
amount of catalyst prepared by one of the procedures
described in Examples I-III and containing 20
micromoles of the active metal complex. A solution of
10 0.82 millimoles of the reaction substrate in 10 mL of
solvent was then added and the flask was evacuated and
filled with hydrogen three times. The temperature and
pressure in this reactor were set to the appropriate
values and the reactor was stirred at such a rate as
to avoid mass transfer control of the reaction to
15 initiate the reaction. The amount of hydrogen taken
up was measured by a computerized system for
introducing into the reactor pulses containing known
quantities of hydrogen. These pulses were introduced
into the reactor at such a rate as to maintain
20 essentially a constant pressure of hydrogen in the
reactor system with the time of each pulse also
recorded by the computer. After hydrogen absorption
ceased the catalyst was separated from the reaction
solution and the product was analyzed by gas
25 chromatography or HPLC using an appropriate column.

 The results of some of these hydrogenations
run using catalysts prepared using the procedures
described in Examples I, II and III are listed in
30 Tables 1 through 4.

EXAMPLE VI

1 This example illustrates the hydrogenation
of 2-acetamidoacrylic acid methyl ester over a
Rh(DIPAMP)/PTA/Montmorillonite Clay catalyst prepared
using the procedure described in Example I where
5 Montmorillonite clay was used as the support material,
phosphotungstic acid (PTA) was the heteropoly acid and
Rh(COD)(DIPAMP)BF₄ was the metal complex catalyst.

A 25 mL reaction flask was placed 400 mg of
the catalyst, Rh(DIPAMP)/PTA/Montmorillonite clay,
10 prepared following the procedure described in Example
I where Montmorillonite clay was used as the support
material, phosphotungstic acid (PTA) was the
heteropoly acid and Rh(COD)(DIPAMP)BF₄ was the metal
complex catalyst. A solution of 1.26 millimoles of 2-
15 acetamidoacrylic acid methyl ester in 10 mL of
methanol was then added and the flask was evacuated
and filled with hydrogen three times and the reaction
initiated at atmospheric pressure and room temperature
with computer monitoring of the hydrogen uptake.
20 After hydrogen absorption ceased the product was
analyzed by gas chromatography using a β -cyclodextrin
Chiraldex column. As listed in Table 1 the hydrogen
uptake occurred at a rate of 0.11 moles H₂/mole Rh/min
with the product having an enantiomeric excess (ee) of
25 92%. After multiple re-use the catalyst had an
activity of 0.80 moles H₂/mole Rh/min and the product
had an ee of 92%. The activity and selectivity of
this catalyst was retained after storage for at least
eight months at room temperature in a screw capped
30

vial in air as illustrated by the data given in Table
1.

1

5

10

15

20

25

30

35

EXAMPLE VII

1 This example illustrates the hydrogenation
of 2-acetamidoacrylic acid methyl ester over a
Rh(DIPAMP)/PTA/Montmorillonite clay catalyst prepared
using the procedure described in Example III where
Montmorillonite clay was used as the support material,
5 phosphotungstic acid (PTA) was the heteropoly acid and
Rh(COD) (DIPAMP)BF₄ was the metal complex catalyst.

A 25 mL reaction flask contained the
catalyst prepared in a He atmosphere from 400 mg of
Montmorillonite K, 20 micromoles of phosphotungstic
10 acid (PTA) and 20 micromoles of the Rh(COD) (DIPAMP)BF₄
complex following the procedure described in Example
III. A solution of 1.26 millimoles of 2-
acetamidoacrylic acid methyl ester in 10 mL of
methanol was then added and the flask was evacuated
15 and filled with hydrogen three times and the reaction
initiated at atmospheric pressure and room temperature
with computer monitoring of the hydrogen uptake.
After hydrogen absorption ceased, the product was
analyzed by gas chromatography using a β -cyclodextrin
20 Chiraldex column. As listed in Table 2, the hydrogen
uptake occurred at a rate of 0.18 moles H₂/mole Rh/min
with the product having an enantiomeric excess (ee) of
76%. After multiple re-use the catalyst had an
activity of 0.56 moles H₂/mole Rh/min and the product
25 had an ee of 91%.

30

35

EXAMPLE VIII

1 This example illustrates the hydrogenation
of methyl 2-acetamidoacrylic acid methyl ester over a
Rh(DIPAMP)/PTA/Al₂O₃ catalyst prepared using the
procedure described in Example III where gamma alumina
5 was used as the support material, phosphotungstic acid
(PTA) was the heteropoly acid and Rh(COD)(DIPAMP)BF₄
was the metal complex catalyst.

A 25 mL reaction flask contained the
catalyst prepared in a He atmosphere from 300 mg of
10 gamma alumina, 20 micromoles of phosphotungstic acid
(PTA) and 20 micromoles of the Rh(COD)(DIPAMP)BF₄
complex following the procedure described in Example
III. A solution of 1.26 millimoles of 2-
15 acetamidoacrylic acid methyl ester in 10 mL of
methanol was then added and the flask was evacuated
and filled with hydrogen three times and the reaction
initiated at atmospheric pressure and room temperature
with computer monitoring of the hydrogen uptake.
After hydrogen absorption ceased the product was
20 analyzed by gas chromatography using a β -cyclodextrin
Chiraldex column. As listed in Table 2, the hydrogen
uptake occurred at a rate of 0.32 moles H₂/mole Rh/min
with the product having an enantiomeric excess (ee) of
90%. After multiple re-use the catalyst had an
25 activity of 1.67 moles H₂/mole Rh/min and the product
had an ee of 92%.

30

35

EXAMPLE IX

1 In this example, a Rh(COD) (DIPAMP) BF₄
catalyst was supported on various supports which were
previously treated with phosphotungstic acid and the
supported catalysts were used in the hydrogenation of
2-acetamidoacrylic acid methyl ester. The supported
5 catalysts of this example were prepared using the
procedure described in Example III using
Montmorillonite K clay, carbon, aluminum and lanthana
as the support materials.

10 The results of this experiment are shown in
Table 2. Specifically, the data in Table 2 illustrate
that the supported catalysts of the present invention
exhibited high activity and selectivity after one use.
Moreover, an unexpected increase in activity and
15 selectivity was observed after the first use.

20

25

30

35

EXAMPLE X

1 In this example, a supported catalyst of the
present invention was prepared using the procedure
described in Example III using different heteropoly
acids, i.e. phosphotungstic, silicotungstic and
silicomolybdic. The support in each instance was
5 Montmorillonite K clay and the metal complex was
Rh(COD)(DIPAMP)BF₄. The supported catalysts prepared
in this example were used in the hydrogenation of 2-
acetamidoacrylic acid methyl ester.

10 The results of using different heteropoly
acids in the preparation of the supported catalysts of
the present invention are shown in Table 3. Again,
the supported catalysts of this example showed good
activity and selectivity after one use. An increase
15 in activity and selectivity was unexpectedly observed
after the first use.

20

25

30

35

EXAMPLE XI

1 In this example, a comparison between
various homogeneous catalysts, namely Rh(DIPAMP),
Rh(PROPHOS) and Rh(Me-DUPHOS), and the corresponding
supported catalysts prepared in accordance with the
present invention were made for the hydrogenation of
5 2-acetamidoacrylic acid methyl ester. The supported
catalysts were prepared using the procedure described
in Example III using gamma alumina as the support
material and phosphotungstic acid (PTA) as the
heteropoly acid and Rh(COD)(DIPAMP)BF₄, Rh(COD)(Me-
10 DUPHOS)Cl and Rh(COD)(Me-DUPHOS)Cl, respectively, as
the metal complex catalysts. The results of this
study are shown in Table 4. Specifically, the data in
Table 4 illustrate that the supported catalysts of the
present invention exhibit efficient activity and
15 selectivity when compared to their soluble homogeneous
counterparts.

Moreover, the supported catalysts of the
present invention unexpectedly exhibited an increase
20 in activity and selectivity during re-use.

25

30

35

EXAMPLE XII

1 This example illustrates the hydrogenation
of 2-acetamidocinnamic acid methyl ester over a Rh(Me-
DUPHOS)/PTA/Carbon catalyst prepared using the
procedure described in Example III where carbon was
5 used as the support material, phosphotungstic acid
(PTA) was the heteropoly acid and Rh(COD) (Me-DUPHOS)Cl
was the metal complex catalyst.

A 25 mL reaction flask contained the
catalyst prepared in a He atmosphere from 100 mg of
10 carbon, 20 micromoles of phosphotungstic acid (PTA),
40 micromoles of NaOH and 20 micromoles of the
Rh(COD) (Me-DUPHOS)Cl complex following the procedure
described in Example III. A solution of 0.82
15 millimoles of 2-acetamidocinnamic acid methyl ester in
10 mL of methanol was then added and the flask was
evacuated and filled with hydrogen three times and the
reaction initiated at atmospheric pressure and room
temperature with computer monitoring of the hydrogen
uptake. After hydrogen absorption ceased the product
20 was analyzed by gas chromatography using a β -
cyclodextrin ChiralDEX column. The hydrogen uptake
occurred at a rate of 0.18 moles H₂/mole Rh/min with
the product having an enantiomeric excess (ee) of 93%.
After multiple re-use the catalyst had an activity of
25 0.97 moles H₂/mole Rh/min and the product had an ee of
94%.

30

35

EXAMPLE XIII

1 In this example, a Rh(Me-DUPHOS)(COD) BF₄
catalyst supported on a phosphotungstic acid modified
carbon support prepared in accordance with Example III
was used in the hydrogenation of 2-acetamidocinnamic
acid methyl ester. The hydrogenation reactions were
5 run at atmospheric pressure and at 25°C. The catalyst
was re-used and the filtrate after the first
hydrogenation showed no activity showing that there
was no soluble catalyst present in the liquid.

10 The results of this experiment are shown in
Fig. 1. Specifically, the activity of the catalyst
increased after the first use.

15

20

25

30

35

EXAMPLE XIV

1 This example illustrates the hydrogenation
of dimethylitaconate over a Ru(S,S-
BINAP)/PTA/SuperFlow clay catalyst prepared using the
procedure described in Example III where SuperFlow
5 clay was used as the support material, phosphotungstic
acid (PTA) was the heteropoly acid and Ru(SS-
BINAP)(NEt₃)Cl₂ was the metal complex catalyst.

A 25 mL reaction flask contained the
catalyst prepared in a He atmosphere from 200 mg of
10 Clarion 470 SuperFlow clay, 10 micromoles of
phosphotungstic acid (PTA) and 10 micromoles of the
Ru(SS-BINAP)(NEt₃)Cl₂ complex following the procedure
described in Example III. A solution of 1.42
millimoles of dimethylitaconate in 8 mL of methanol
15 was then added and the flask was evacuated and filled
with hydrogen three times and the reaction initiated
at atmospheric pressure and room temperature with
computer monitoring of the hydrogen uptake. After
hydrogen absorption ceased the product was analyzed by
20 gas chromatography using a β -cyclodextrin ChiralDEX
column. The hydrogen uptake occurred at a rate of
0.06 moles H₂/mole Ru/min with the product having an
enantiomeric excess (ee) of 81%. After multiple re-
use the catalyst had an activity of 0.09 moles H₂/mole
25 Ru/min and the product had an ee of 91%.

30

35

EXAMPLE XV

This example illustrates the
1 hydroformylation of 1-hexene over a
Rh(P(C₆H₅)₃)₃Cl/PTA/Montmorillonite clay catalyst
prepared using the procedure described in Example III
where montmorillonite clay was used as the support
5 material, phosphotungstic acid (PTA) was the
heteropoly acid and Rh(P(C₆H₅)₃)₃Cl was the metal
complex catalyst.

The modified support was prepared from a
10 suspension of 1.5 g of Montmorillonite K in 10 mL of
methanol and 120 micromoles of PTA in 5 mL of
methanol. The catalyst was prepared from this support
and 5 mL of toluene containing 100 micromoles of
Rh(P(C₆H₅)₃)₃Cl. The resulting suspension was stirred
15 at 70°C overnight and the catalyst washed with 20 mL
portions of toluene at least three times or until all
of the soluble rhodium complex had been removed using
the procedure described in Example III. To a 200 mg
portion of this catalyst in a stainless steel
20 autoclave was added 30 mL of toluene and 6 mL of 1-
heptene. The autoclave was flushed three times with a
1:1 mixture of CO and H₂, pressurized to 1000 psig,
the temperature was raised to 80°C and stirring
initiated at 800 rpm. The reaction was run for 24
25 hours after which time the liquid was removed and a
second portion of 1-heptene and toluene were injected
into the autoclave and the hydroformylation run again.
This procedure was repeated three times with the
products analyzed by GC-MS using a 30m x 0.25mm HP-1
30 capillary column. The reaction data is listed in

Table 5 along with corresponding data for the reaction run using a soluble $\text{Rh}(\text{P}(\text{C}_6\text{H}_5)_3)_3\text{Cl}$ catalyst.

1 The isomerization product was 2-heptene (1)
and the hydroformylation products were 1-octanal (2),
2-formylheptane (3) and 3-formylheptane (4). The
foregoing numbers are utilized to identify the
5 specific products in Table 5.

10

15

20

25

30

35

EXAMPLE XVI

1 This example illustrates the allylation of
sodium dimethylmalonate by 2-butenyl acetate over a
Pd(R,R-BINAP)/PTA/Carbon catalyst prepared using the
procedure described in Example IV.

5 To the catalyst described in Example IV was
added a solution of 160 mg of sodium dimethylmalonate
in 5 mL of tetrahydrofuran and the suspension stirred
for 10 minutes. 2-Butenyl acetate (60 microliters)
was then added by syringe and the reaction mixture
10 stirred at room temperature for 12 hours. The
reaction liquid was then removed and analyzed by gas
chromatography. The reaction data are listed in Table
6 along with data obtained on running the reaction
using a soluble Pd(R,R-BINAP)Cl₂ catalyst.

15 The products were trans methyl (2-
carbomethoxy)-4-hexenoate (5), cis methyl (2-
carbomethoxy)-4-hexenoate (6) and (R) and (S) methyl
(2-carbomethoxy-3-methyl)-4-pentenoate (7). The
foregoing numbers are utilized to identify the
20 specific products in Table 6.

25

30

35

EXAMPLE XVII

1 The example illustrates the preparation of a
titanium alkoxide modified silica and its use as a
support for $\text{Rh}(\text{P}(\text{C}_6\text{H}_5)_3)_3\text{Cl}/\text{PTA}$.

5 To a flask containing 100 mL of dry toluene
was added 30 g of ICN60 silica which had been
previously dried by heating at 90°C for one hour under
a vacuum of 18 mm Hg immediately before use. To this
suspension was added in one portion a solution of 7.65
10 g of titanium isopropoxide in 30 mL of dry toluene and
the flask was purged with nitrogen. The temperature
of the suspension was slowly raised to 95°-100°C and
kept, with stirring, at that temperature overnight.
After cooling, the solid was washed three times with
100 mL portions of dry toluene and two times with
15 freshly distilled methanol.

To this solid was added 50 mL of dry
methanol and a solution of 12.9 g of phosphotungstic
acid in methanol. The suspension was stirred
overnight at room temperature under dry nitrogen and
20 the solid separated and washed five times with 100 mL
portions of methanol. The solid was dried at 60° for
one hour.

To 400mg of this modified support in 10 mL
of acetone was added a solution of 20 micromoles of
25 $\text{Rh}(\text{P}(\text{C}_6\text{H}_5)_3)_3\text{Cl}$ in 2 mL of acetone after purging the
flask with nitrogen. The resulting slurry was stirred
at room temperature under nitrogen for 2 hours and the
liquid extracted from the reactor. The solid was
washed with 10 mL portions of acetone until there was
30

no color in the wash liquid. The catalyst was dried under vacuum at room temperature for two hours and
1 then used for the hydrogenation of 1-hexene using the procedure described in Example V. After each use the colorless liquid was removed from the reactor and
fresh solvent and reactant were added for an
5 additional hydrogenation. This catalyst was re-used eight times with no loss of activity nor any loss of the metal complex.

10

15

20

25

30

35

EXAMPLE XVIII

1 This example illustrates the use of this
technique for the supporting of a non-precious metal
complex. The support used is a titanium alkoxide
modified silica.

5 One gram of the titanium alkoxide modified
silica support with the attached PTA as described in
Example XVII and 10 mL of tetrahydrofuran (THF) were
placed in a flask. After purging the flask with
nitrogen, 65.4 mg of $\text{NiCl}_2(\text{TPP})_2$ dissolved in 1 mL of
10 THF was added and the resulting slurry was stirred at
room temperature for 2 hours (TPP=triphenylphosphine).
The liquid was extracted and the solid washed 5 times
with 20 mL portions of dry THF. The catalyst was
dried under vacuum at room temperature for two hours
15 and used immediately.

To 500 mg of this catalyst was added 6 mL of
THF and 1.62 mL of a cold solution containing 2.9
mmole of phenyl lithium. The mixture was cooled to
0°C under stirring and 0.42 mL of $\text{B}(\text{OCH}_3)_3$ (3.62 mmol)
20 was slowly added followed by 0.167 ml (1.44 mmole) of
allyl methyl carbonate and the temperature was allowed
to rise to ambient. The mixture was then heated, with
stirring, at 60°C for 12 hours. The liquid was
separated from the solid catalyst and poured into a
25 mixture of 20 mL of pentane and 20 mL of saturated
ammonium chloride solution. After vigorous shaking
the organic layer was separated and filtered through a
Celite pad. After drying and evaporation of the
organic phase, gas chromatographic analysis of the

30

35

residue using an HP-1 column showed that 3-phenyl-
propene-1 was formed in a yield identical to that
1 obtained using the soluble nickel catalyst.

While the invention has been particularly
shown and described with respect to preferred
embodiments thereof, it will be understood by those
5 skilled in the art that the foregoing and other
changes in form and detail may be made without
departing from the spirit and scope of the invention.

10

15

20

25

30

35

TABLE 1^a

1	Support	Use #	Rate ^b	ee
	Montmorillonite	1	0.11	92%
	K clay	3	0.80	92%
		1 ^c	0.18	92%
5		3 ^c	0.54	94%
	Carbon	1	0.07	75%
		3	0.50	78%

10 ^a Examples of data obtained on hydrogenation of 2-acetamidoacrylic acid methyl ester over supported Rh(DIPAMP)/PTA catalysts supported on Montmorillonite clay and carbon prepared using the procedure described in Example I.

^b moles H₂/mole Rh/min

^c Data obtained using a catalyst which had been stored in air for about eight months.

15

20

25

30

5

TABLE 2^a

	Support	Use #	Rate ^a	ee
1	Montmorillonite K	1	0.18	76%
		3	0.56	91%
	Carbon	1	0.07	83%
		3	0.40	90%
5	Alumina	1	0.32	90%
		3	1.67	92%
	Lanthana	1	0.38	91%
		3	0.44	92%

^a Examples of data obtained on hydrogenation of 2-acetamidoacrylic acid methyl ester over supported Rh(DIPAMP)/PTA catalysts supported on different supports prepared using the procedure described in Example III.

^b moles H₂/mole Rh/min

15

20

25

30

35

TABLE 3^a

	Heteropoly Acid	Use #	Rate ^b	ee
1	Phosphotungstic	1	0.18	76%
		3	0.56	91%
	Silicotungstic	1	0.04	55%
		3	0.31	87%
5	Silicomolybdic	1	0.23	92%
		3	1.13	94%

^a Examples of data obtained on hydrogenation of 2-acetamidoacrylic acid methyl ester over supported Rh(DIPAMP)/heteropoly acid catalysts supported on Montmorillonite K clay with different heteropoly acids prepared using the procedure described in Example III.

10 ^b moles H₂/mole Rh/min

15

20

25

30

35

TABLE 4^a

1	Catalyst	Use #	Supported ^b		Soluble ^c	
			Rate ^d	ee	Rate ^d	ee
	Rh (DIPAMP)	1	0.32	90%	0.25	76%
		3	1.67	92%	na	na
5	Rh (PROPHOS)	1	2.0	68%	0.26	66%
		3	2.6	63%	na	na
	Rh (Me-DUPHOS)	1	1.8	83%	3.3	96%
		3	4.4	95%	na	na

^a Examples of data obtained on hydrogenation of 2-acetamidoacrylic acid methyl ester over different rhodium complexes heteropoly acid catalysts supported on gamma alumina which had been treated with phosphotungstic acid using the procedure described in Example III.

^b Alumina/PTA supported metal catalysts.

^c Unsupported metal catalysts in solution.

^d moles H₂/mole Rh/min

15

20

25

30

35

TABLE 5^a

1	Catalyst	% Conv.	Isomerization	Hydroformylation			
				%2	%3	%4	2/3
	Soluble ^b	90	-	48	38	9	1.3
5	Supported ^c (1st use)	78	15	49	29	-	1.7
	(2nd use)	65	12	40	24	-	1.6
	(3rd use)	70	10	44	25	-	1.8

* Examples of data obtained on hydroformylation of 1-heptene over a Rh(P(C₆H₅)₃)₂Cl/PTA/Montmorillonite clay catalyst prepared using the procedure described in Example III with the reaction run using the procedure described in Example XV. The compound identities are listed in Example XV

^b Unsupported metal catalyst in solution.

^c Montmorillonite/PTA supported metal catalyst.

15

20

25

30

35

TABLE 6^a

	Catalyst	Rxn Time	Conv.	%5	%6	%7	ee%	5/6	5+6/7
1	Soluble ^b	24 hr	96%	56	6	38	26	9.3	1.6
	Supported ^c (First Use)	12 hr	100%	76	1.5	22	27	50	3.5
	Second Use	12 hr	100%	78	1.2	21	31	65	3.8
5	Fourth Use	12 hr	100%	78	1	21	30	78	3.9

^a Examples of data obtained on allylation of sodium dimethylmalonate by 2-butenyl acetate over a Pd(R,R-BINAP)/PTA/Carbon catalyst prepared using the procedure described in Example IV with the reaction run using the procedure described in Example XVI. The compound identities are listed in Example

10 XVI

^b Unsupported metal catalyst in solution.

^c Carbon/PTA supported metal catalyst.

15

20

25

30

35

WHAT IS CLAIMED IS:

- 1 1. A supported catalyst comprising a
support, an anchoring agent, and a metal complex,
wherein the anchoring agent is a heteropoly acid, its
lacunar or other crystalline or non-crystalline phase
or the respective anion.
- 5 2. The supported catalyst of Claim 1
wherein said support is selected from the group
consisting of metal oxides, carbon, resins and
polymers.
- 10 3. The supported catalyst of Claim 2
wherein the metal oxide is selected from the group
consisting of alumina, silica, titania, lanthana,
zeolites and clays.
- 15 4. The supported catalyst of Claim 2
wherein the support is a treated support.
- 20 5. The supported catalyst of Claim 4
wherein said treated support material is obtained by
calcining said support or contacting said support with
a modifier.
- 25 6. The supported catalyst of Claim 5
wherein the modifier is a metal alkoxide.
- 30 7. The supported catalyst of Claim 6
wherein said metal alkoxide is titanium alkoxide,
aluminum alkoxide, silane alkoxide or vanadium
alkoxide.

8. The supported catalyst of Claim 1
wherein said heteropoly acid is a Keggin type, Dawson
1 type, Waugh type, Anderson type or Silverton type and
said anion is an anion of the heteropoly acid.

9. The supported catalyst of Claim 8
5 wherein the Keggin type of said heteropoly acid is
phosphotungstic acid, phosphomolybdic acid or
silicotungstic acid or the anions thereof.

10. The supported catalyst of Claim 1
10 wherein the metal complex is a catalytically active
material which contains at least one metal atom or ion
from Group IIIB, IVB, VB, VIB, VIIB, VIII, IB or IIB
of the Periodic Table of Elements to which one or more
ligands are attached.

15

11. The supported catalyst of Claim 10
wherein said metal atom or ion is from Group VIII of
the Periodic Table of Elements.

12. The supported catalyst of Claim 10
20 wherein said ligand is selected from the group
consisting of phosphines, amines, carbonyl, alkenes,
dienes, halides, (R,R) or (S,S) 2,2'-
bis(diphenylphosphino)-1,1'-binaphthyl (BINAP), (2S,
25 3S)-bis(diphenylphosphino)butane (CHIRAPHOS),
cyclooctadiene (COD), (R,R)-1,2-bis[(2
methoxyphenyl)phenylphosphine]ethane (DIPAMP), 1,2-
bis(2R,5R)-2,5(dimethylphospholano)benzene (Me-DUPHOS)
(R)1,2-bis(diphenylphosphino)propane (PROPHOS), 2,3-O-
30 isopropylidene-2,3-dihydroxy-1,4-

bis(diphenylphosphino)butane (DIOP) and mixtures thereof.

1

13. A method of preparing the supported catalyst of Claim 1 comprising:

5

(i) contacting a support with a heteropoly acid or anion under conditions effective to form a heteropoly acid or anion-containing support;

10 (ii) contacting a metal complex with said heteropoly acid or anion-containing support under conditions effective to form a supported catalyst; and

(iii) optionally, recovering said supported catalyst.

15

14. The method of Claim 13 wherein step (i) occurs in a solvent at a temperature of from about -25° to about 250°C for a period of time of from about 1 min. to about 50 hrs.

20

15. The method of Claim 13 wherein heteropoly acid or anion is present in a weight ratio of about 0.01:1 to about 20:1 with the support employed in step (i).

25

16. The method of Claim 13 wherein said support is calcined or treated with a metal alkoxide prior to step (i).

30

17. The method of Claim 16 wherein the metal alkoxide is selected from the group consisting of titanium alkoxide, aluminum alkoxide, silane alkoxide and vanadium alkoxide.

18. The method of Claim 13 wherein step (ii) occurs in a solvent at a temperature of from about -25° to about 250°C for a period of time of from about 1 min. to about 50 hrs.

19. The method of Claim 13 wherein said metal complex is contacted at a concentration to provide a molar ratio of said metal complex to said heteropoly acid or anion of from about 0:1:1 to about 6:1.

20. The method of Claim 13 wherein said recovering step includes decantation, filtration and/or centrifugation.

21. A method of preparing the supported catalyst of Claim 1 comprising:

(i) contacting a heteropoly acid or anion with a metal complex under conditions effective to form a mixture or suspension containing said heteropoly acid or anion and said metal complex;

(ii) contacting a support with said solution or suspension formed in step (i) under conditions effective to form a supported catalyst; and

30

(iii) optionally, recovering said supported catalyst.

1

22. The method of Claim 21 wherein step (i) occurs in a solvent at a temperature of from about -25° to about 250°C for a period of time of from about 1 min. to about 50 hrs.

23. The method of Claim 21 wherein said metal complex is used at a concentration to provide a molar ratio of said metal complex to said heteropoly acid or anion of from about 0:1:1 to about 6:1.

10

24. The method of Claim 21 wherein said support is calcined or treated with a metal alkoxide prior to step (ii).

15

25. The support of Claim 24 wherein said metal alkoxide is selected from the group consisting of titanium alkoxide, aluminum alkoxide, silane alkoxide and vanadium alkoxide.

20

26. The method of Claim 21 wherein step (ii) occurs in a solvent at a temperature of from about -25° to about 250°C for a period of time of from about 1 min. to about 50 hrs.

25

27. The method of Claim 21 wherein said solution or suspension containing said heteropoly acid or anion and metal complex is present in a weight ratio of about 0.01:1 to about 20:1 with the support employed in step (ii).

30

28. The method of Claim 21 wherein said recovering step includes decantation, filtration
1 and/or centrifugation.

29. A method of preparing the supported catalyst of Claim 1 comprising:

5

(i) contacting a support with a heteropoly acid or anion under conditions effective to form a heteropoly acid or anion-containing support;

10

(ii) contacting said heteropoly acid or anion-containing support with a catalyst precursor material under conditions effective to form a catalyst supported precursor; and

15

(iii) contacting said catalyst supported precursor with a ligand which is capable of transforming the catalyst supported precursor to a catalytic active entity; and

20

(iv) optionally, recovering said catalytic active entity.

30. The method of Claim 29 wherein said support is calcined or treated with a metal alkoxide
25 prior to use.

31. The method of Claim 30 wherein the metal alkoxide is selected from the group consisting of titanium alkoxide, aluminum alkoxide, silane
30 alkoxide and vanadium alkoxide.

-59-

32. The method of Claim 29 wherein step (i) occurs in a solvent at a temperature of from about -25° to about 250°C for a period of time of from about 1 min. to about 50 hrs.

33. The method of Claim 29 wherein said catalyst precursor material is a metal salt or complex from which a catalytically active entity can be prepared.

34. The method of Claim 33 wherein the metal salt or complex of said catalyst precursor material is selected from the group consisting of rhodium cyclooctadiene dimer, ruthenium cyclooctadiene dimer, allyl palladium dimer and rhodium chloride.

35. The method of Claim 29 wherein step (ii) occurs in a solvent at a temperature of from about -25° to about 250°C for a period of time from about 1 min. to about 50 hrs.

36. The method of Claim 29 wherein said ligand is selected from the group consisting of, phosphines, amines, carbonyl, alkenes, dienes, halides, (R,R) or (S,S) 2,2'-bis(diphenylphosphino)-1,1'-binaphthyl (BINAP), (2S, 3S) - bis(diphenylphosphino)butane (CHIRAPHOS), cyclooctadiene (COD), (R,R) - 1,2-bis[(2-methoxyphenyl)phenylphosphine]ethane (DIPAMP), 1,2-bis(2R,5R) - 2,5(dimethylphospholano)benzene (Me-DUPHOS) (R) 1,2-bis(diphenylphosphino)propane (PROPHOS), 2,3-O-isopropylidene-2,3-dihydroxy-1,4-

-60-

bis(diphenylphosphino)butane (DIOP) and mixtures thereof.

1

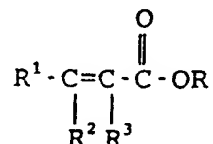
37. The method of Claim 29 wherein step (iii) occurs in a solvent at a temperature of from about -25° to about 250°C for a period of time of 5 from about 1 min. to about 50 hrs.

10

38. The method of Claim 29 wherein from about 1 to about 6 mmol of said ligand per mmol of catalyst precursor material is employed in step (iii).

15

39. A process for the hydrogenation of a substituted α,β unsaturated acid or ester, said process comprising contacting a substituted α,β unsaturated acid or ester of the formula:



20

wherein R^1 , R^2 and R^3 are the same or different and are hydrogen, alkyl containing from 1 to about 35 carbon atoms, alkenyl containing from 1 to about 35 carbon atoms, alkynyl containing from 1 to about 35 carbon atoms, aryl containing about 6 to about 18 carbon atoms, amine, amide, or alkoxide 25 containing from 1 to about 35 carbon atoms; and R is hydrogen or alkyl containing from 1 to about 35 carbon atoms with the supported catalyst of Claim 1 in H_2 under conditions effective to selectively hydrogenate the substituted α,β unsaturated acid or ester into a 30

35

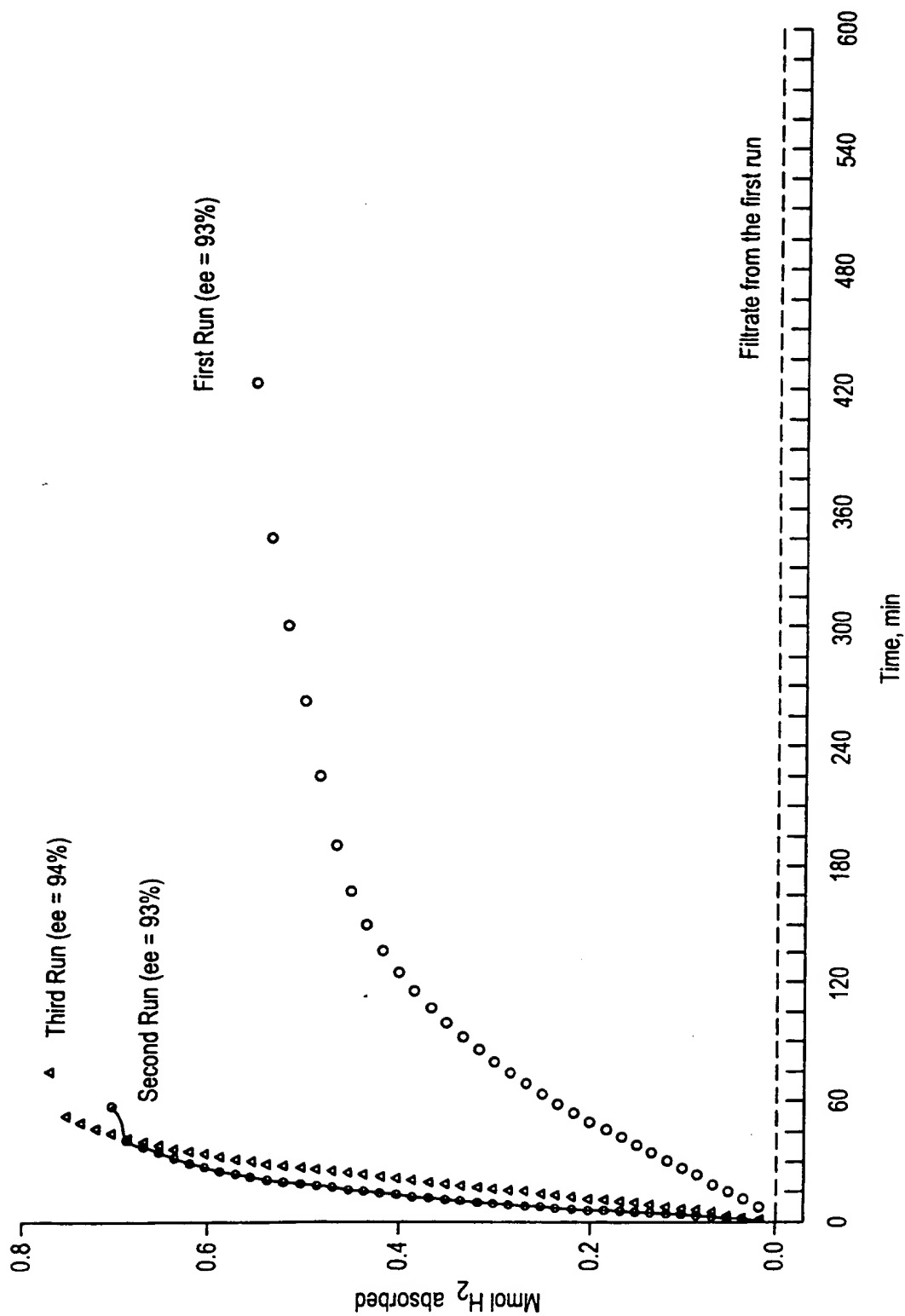
-61-

desired product.

- 1 40. The process of Claim 39 wherein said substituted α,β unsaturated acid or ester is 2-acetamidocinnamic acid methyl ester, 2-acetamidocinnamic acid, 2-acetamido acrylic acid
5 methyl ester, 2-acetamido acrylic acid, dimethylitaconate, itaconic acid, 2-methylpentenoic acid, 2-methylhexenoic acid or 2-(6-methoxy-2-naphthyl)acrylic acid.
- 10 41. The process of Claim 40 wherein said substituted α,β unsaturated acid or ester is a prochiral substituted α,β unsaturated acid or ester and said catalyst of Claim 1 is a chiral catalyst.
- 15 42. The process of Claim 40 wherein said hydrogenation occurs in the vapor or liquid phase.
43. The process of Claim 40 wherein said hydrogenation is run in a batch mode or in a
20 continuous process.
44. A process for the hydroformylation of alkenes into their corresponding aldehydes or alcohols, said process comprising contacting an alkene
25 containing from 2 to about 35 carbon atoms with the supported catalyst of Claim 1 in the presence of H_2 and CO under conditions effective to convert said alkene to a corresponding aldehyde and/or acid.

30

FIG. 1



INTERNATIONAL SEARCH REPORT

International application No.
PCT/US97/23540

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :B01J 23/00, 23/58, 23/02; C07C 5/02, 5/05, 45/00, 27/20, 27/26

US CL :Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 502/305, 308, 309, 313, 317,325, 328, 341, 344, 350,351; 585/273, 277; 568/444, 451,909,914

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,254,714 A (RAMEZANIAN) 19 October 1993 (19-10-93), column 2, line 20 to column 7, line 40.	1-44
X	US 5,225,598 A (DOUMAUX, JR. ET AL) 06 July 1993 (06-07-93), column 1, line 45 to column 20, line 68.	1-44
X	US 5,225,599 A (KING ET AL) 06 June 1993 (06-06-93), column 1, line 30 to column 23, line 27.	1-44
X	US 5,580,991 A (SUGIYAMA ET AL) 03 December 1996 (03-12-96), column 5, line 45 to column 21, line 30.	1-44
X	US 4,612,301 A (CURRIE ET AL) 16 September 1986 (16-09-86), column 1, line 5 to column 11, line 2.	1-44

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
E earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means	
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

09 MARCH 1998

Date of mailing of the international search report

06 APR 1998

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

PATRICIA L. HAILEY
Telephone No. (703) 308-0661

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US97/23540

A. CLASSIFICATION OF SUBJECT MATTER:

US CL :

502/305, 308, 309, 313, 317, 325, 328, 341, 344, 350, 351; 585/273, 277; 568/444, 451, 909, 914

B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

APS

search terms: phosphotungstic/phosphomolybdic/silicotungstic acid(s), ligand(s), heteropolyacid(s), support(s), metal oxide(s), alkoxide(s)